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(54) Title: SHELF-STABLE COMPLETE FOOD PRE-MIXES

(57) Abstract: Shelf-stable complete pre-mixes, separated into two or more components. The components are chemically and microbially stable. At least one component is a high-water-activity liquid component having a pH greater than 4.5. The components, when combined, provide all ingredients necessary to form uncooked mixes or food and beverage products.

SHELF-STABLE COMPLETE FOOD PRE-MIXES**CROSS REFERENCE TO RELATED APPLICATIONS**

This is a Continuation-in-Part of copending application Serial No. 08/866,675 filed May 30, 1997.

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TECHNICAL FIELD

The present invention relates to shelf-stable complete pre-mixes that are used to prepare uncooked mixes or food and beverage products. More specifically, the present invention relates to pre-mixes including at least one liquid component having a water activity (a_w) of greater than about 0.85 and a pH of preferably greater than about 4.5.

10 Each component has substantially improved microbial and chemical stability.

BACKGROUND

A food product has many ingredients. Each ingredient has a specific function and contribution to the overall acceptance of the consumable food product. Ideally, the food or beverage product prepared from the ingredients should have an acceptable appearance, texture, flavor and aroma. To achieve the desired food or beverage product, it is important that the ingredients be stable and that their function not be lost during normal storage and distribution. To achieve shelf stability the ingredients must be both chemically and microbially stable at ambient temperatures.

15 Shelf-stable packaged pre-mixes for preparing food and beverage compositions are quite common. However, most shelf stable packaged pre-mixes are incomplete and require the addition of certain ingredients (e.g., eggs, shortening, water or milk) to be supplied and added by the preparer. This is because if the ingredients were incorporated into the packaged pre-mixes, they would be subject to chemical reactions and microbial proliferation. Typically the preparer (e.g., a food service operator or a consumer),
20 measures a quantity of the ingredients provided in the prepared pre-mix and then supplies
25 and adds any additional ingredients necessary to form an uncooked mix (i.e., complete

with all ingredients but requires cooking, e.g., by baking, frying, microwaving, etc.) or a food or beverage product. The resulting food or beverage product, in terms of quality and reproducibility, depends on the accuracy of measurement and equipment used by the individual preparer.

- 5 Several problems exist with many packaged pre-mixes. Inaccuracies in the steps performed by the preparer such as measuring ingredients or using different units of measure (e.g., different size eggs, teaspoons, etc.) may cause ingredients to be either too low or too high in concentration in the resulting uncooked mixes or food and beverage products. This could cause undesirable product properties, for example dryness, 10 toughness, condensed texture, increase/decrease in viscosity. Moreover, inadequate mixing (i.e., overmixing, undermixing) may also result in RTC mixes or food and beverage products having non-homogeneous, mixture overworked ingredients e.g. gluten.

- Many formulators, because of concerns regarding microbial stability, do not place 15 ingredients in their most functional component or environment. For example, many known pre-mixes include dried egg whites or whole eggs in the dry component; this reduces the ability of the egg protein to hydrate properly because it must compete with other ingredients for the available water. This, in many cases is not desirable, particularly in those instances where the product depends on the egg for obtaining a certain structure 20 or thickness. When the ingredients in the components are not in their most functional environment or are chemically unstable, the resulting uncooked mix lacks the physical properties (e.g., viscosity, homogeneity, emulsification) necessary to prepare a high quality uncooked mix, and the resulting food product suffers in quality (e.g., taste, texture, appearance).

- 25 One attempt to stabilize the pre-mix microbially and reduce measuring inaccuracy is described in U.S. Patent 5,409,720 (Kent et al.), issued April 25, 1996. Kent et al. discloses a dough mix that includes both a moist ingredient portion and a dry ingredient portion. The moist ingredient portion includes sugar, shortening, preferably egg solids, flavorings and all the water necessary to form a complete dough. The dry ingredient 30 portion includes all the flour required in the complete dough. The dry ingredient portion can also include leavening agents, egg solids, candy pieces, nuts, dried fruits, coconut, and other "dry" or moisture-free ingredients. The moist and dry ingredients, when combined, produce a complete dough requiring no additional ingredients.

- 35 A problem with combining the "moist" with the "dry" ingredients according to Kent et al. is that it will be difficult to ensure that all of the ingredients are thoroughly mixed so as to be dissolved, fully hydrated and dispersed for complete functionality.

Moreover, while this method may provide microbial stability in some intermediate water activity doughs and pre-mixes, Kent et al.'s method would not be suitable for preparing high water activity pre-mixes, uncooked mixes or high water activity food and beverage products. The doughs of Kent et al. makes use of an intermediate a_w moist portion (i.e.,
5 no greater than 0.85) to ensure microbial stability. High water activity (i.e., greater than 0.85) uncooked mixes (e.g., cakes, muffins, brownies, pancakes) or food and beverage products (e.g., salad dressings, soups, sauces, shakes, milk/juice blends) require increased levels of water. Most high water activity uncooked mixes and food and beverage products are fluid or flowable. Therefore, a high water liquid component would be
10 needed in formulating a complete pre-mix. The high water activity liquid components would not be microbially stabilized by the method of Kent et al.

Accordingly, a need exists for shelf stable complete pre-mixes for preparing high moisture mixes and high moisture food and beverage products that would allow all of the ingredients, including water, to be present. There also exists a need for complete pre-
15 mixes that allows ingredients to be highly functional for their intended purpose yet remain chemically and microbially stable, prior to being combined. A further need exists for a simple method of mixing the ingredients of food and beverage compositions, so that the variability of the final product, that is caused by mixing conditions, would be minimized.

An object of the invention is to provide shelf-stable complete pre-mixes.

20 Another object of the invention is to stabilize (i.e., microbially, chemically) complete pre-mixes comprising a high-water activity component.

Still another object of the invention is to stabilize the components of complete pre-mixes while substantially enhancing the performance of food ingredients.

SUMMARY OF THE INVENTION

25 According to the present invention, the foregoing and other objects are attained by separating food and beverage recipes into two or more components containing food ingredients.

In accordance with one aspect of the invention, the shelf-stable complete pre-mixes comprise two or more components, stored separately. At least one component
30 must be an aqueous component, having a water activity greater than about 0.85 and a pH greater than about 4.5. The components are of sufficient composition upon combining, to provide the ingredients necessary to form an uncooked mix or a food or beverage product.

In accordance with another aspect of the invention, the shelf-stable complete pre-mixes comprise at least one liquid component having a water activity greater than about
35 0.85 and a pH of preferably greater than about 4.5, and a second component having a

water activity of preferably less than about 0.6. Because the amount of ingredients in each component vary, the second component may be in a liquid or solid form.

In accordance with still another aspect of the invention, the components are contained in a multi-compartment package having one or more rupturable seal(s), which
5 upon rupturing, allows intermixing of the components by the preparer, at will. Intermixing of the components is provided by means of instructions supplied to the preparer.

DETAILED DESCRIPTION

Definitions

10 "Ready-to-cook mix" as used herein refers to combined food ingredients that require additional cooking (e.g., baking, frying, microwaving) to form a ready-to-eat food or beverage product.

"Pre-mix" as used herein refers to combined food ingredients that require the preparer to supply and/or add additional ingredients to have the necessary ingredients to
15 form an uncooked mix or a food or beverage product.

"Complete pre-mix" as used herein refers to a pre-mix in which all necessary ingredients are provided, but the ingredients have not all been combined to form a uncooked mix or a food or beverage product.

"Food product" as used herein refers to food compositions in a ready-to-eat form.

20 "Beverage product" as used herein refers to beverage compositions in a ready-to-drink form.

"Solid" as used herein refers to wet or dry substances, wet or dry granulated substances, plastic substances and mixtures thereof.

25 "Water" as used herein refers to unbound water and encompasses water present in ingredients, for example, vinegar, wine, beer, flavor and the like.

"Food recipe" or "beverage recipe" refers to all of the ingredients in a food or beverage (i.e., total composition), but additional processing (mixing, blending, cooking) is required to achieve a food or beverage product.

30 "High water activity" as used herein refers to a water activity of greater than about 0.85.

"Shelf-stable" as used herein refers to resistance to microbial growth/activity at a temperature of from about 60°F (15.5°C) to about 80°F (26.6°C) with minimum change in component characteristics due to ingredient interactions, while retaining desirable attributes with respect to functionality, aroma, flavor, and color

35 "Water-compatible ingredients" as used herein refer to ingredients that are shelf-stable or can be made shelf-stable in a water continuous phase, and has, upon mixing of

the components, enhanced functionality in the food product composition, as compared to its having been contained in one of the other components during storage.

"Oil-compatible ingredients" as used herein refer to ingredients that are stable or can be made stable in the oil continuous phase, and has, upon mixing of the components, enhanced functionality in the food product composition, as compared to its having been contained in one of the other components during storage.

"Flour" as used herein refers to flours that are derived from tubers, cereals or grains, for example, whole wheat flour, semolina flour, straight hard flour, all purpose flour, soy flour, self-rising flour, straight soft flour, cake flour, pastry flour, potato flour, rice flour, rye flour, oat flour and mixtures thereof.

"Fat" or "oil" as used herein refers to edible fatty substances in a general sense, including natural or synthetic fats and oils consisting essentially of triglycerides, such as, for example soybean oil, corn oil, cottonseed oil, sunflower oil, palm oil, coconut oil, canola oil, fish oil, lard and tallow, which may have been partially or completely hydrogenated or otherwise modified. "Fat" or "Oil" also refers to non-toxic fatty materials having properties similar to triglycerides, herein referred to as "fat substitutes," which materials may be partially- or fully-indigestible. Reduced calorie fats and edible non-digestible fats, oils or fat substitutes are also included in the term. The terms "fat" and "oil" are used interchangeably. Mixtures of the above fats or other fats can also be used in the pre-mixes of the present invention

"Leavening agent" as used herein refers to yeast or bacteria which generate carbon dioxide as end products of their metabolic functions or chemicals that can provide leavening action singly or in combination, (e.g., sodium bicarbonate, ammonium carbonate, bicarbonate, potassium bicarbonate), for example, sodium aluminum sulfate, dicalcium phosphate dihydrate, monocalcium phosphate monohydrate monocalcium phosphate anhydrous, 1-3-8 sodium aluminum phosphate, sodium acid pyrophosphate, potassium acid, tartrate, calcium ammonium phosphate, calcium carbonate, glucono-delta-lactone (GDL).

"Antioxidant" as used herein refers to ascorbic acid (and salts), ascorbyl palmitate, tert-butylhydroxyquinone, butylhydroxyanisole, butylhydroxytoluene, gum guaic, propylgallate, sulfite and metabisulfite salts, thiodipropionic acid (and esters) plant extract exhibiting anti-oxidant properties e.g. tocopherol, rosemary and mixtures thereof.

"Gum" as used herein refers to pectin, xanthan gum, agar, gum arabic, guar gum, locust bean gum, algin, alginates, tragacanth, gellan, carob bean gum, sodium carboxymethylcellulose (CMC), carrageenan, gum karaya and mixtures thereof.

"Emulsifier" as used herein refers to mono- and di-glycerides polyglycerol esters, lecithin, polyoxyethylene sorbitan sodium stearyl lactylate, sorbitan fatty acid esters, propylene glycol, esters of alginic acid and mixtures thereof as well as egg yolk solids, protein, gum arabic, carob bean gum, guar gum, propylene glycol esters of alginic acid, sodium carboxymethylcellulose, polysorbates and mixtures thereof.

"Protein" as used herein refers to milk protein from whole, skim or other low fat milk, whey proteins (with or without lactose), acid casein and caseinate, egg proteins, vegetable protein (e.g., soy, peanut, cottonseed) and mixtures thereof.

"Edible Acid or Acid" as used herein refers to organic and inorganic acids, for example, glucono-delta lactone, lactic acid, sorbic acid, citric acid, malic acid, fumaric acid, succinic acid, sulfuric acid, phosphoric acid, hydrochloric acid, benzoic acid, acid, soluble salts of these acids and mixtures thereof.

"Anti-microbial agent" as used herein refers to acetic acid and salts, benzoic acid and salts, ethylene oxide, nitrates, nitrites (potassium and sodium salts), propionic acid (and salts), propylene oxide, sorbic acid (and salts) sulfur dioxide, sulfites and mixtures thereof.

"Water activity" or " a_w " as used herein refers to the ratio of the vapor pressure of a solution or mixture to the vapor pressure of pure water, at a specific temperature. The water activity is a measure of available water in the mixture.

"Sugar" as used herein refers to sugar as generic to monosaccharides, disaccharides, oligosaccharides, and polysaccharides, for example sucrose, glucose, fructose, maltose, high fructose corn syrups, and high maltose corn syrups. The term "sugar alcohol" is also used in its conventional sense as generic to the reduction product of sugars wherein the aldehyde or ketone group has been reduced to an alcohol. These sugars and sugar alcohols contain at least 3 hydroxy groups prior to esterification, and preferably from 4 to 8 hydroxy groups. Examples of suitable monosaccharides are those containing 3 hydroxyl groups such as xylose, arabinose, and ribose; the sugar alcohol derived from xylose, i.e., xylitol, is also suitable. Among 5 hydroxyl-containing monosaccharides that are suitable for use herein are glucose, mannose, galactose, fructose, and sorbose. A sugar alcohol derived from sucrose, glucose, or sorbose, e.g., sorbitol, contains 6 hydroxyl groups and is also suitable as the alcohol moiety of the fatty acid ester compound. Examples of suitable disaccharides are maltose, lactose, and sucrose, all of which contain 8 hydroxyl groups. Non-caloric or reduced calorie sweeteners are also meant to be included in the term "sugar". Examples of non-caloric or reduced calorie sweeteners include, but are not limited to, aspartame; saccharin; alitame, thaumatin; dihydrochalcones; cyclamates; steviosides; glycyrrhizins, synthetic alkoxy

aromatics, such as Dulcin and P-4000; sucralose, miraculin; monellin; sorbitol; xylitol; talin; cyclohexylsulfamates; substituted imidazolines; synthetic sulfamic acids such as acesulfame, acesulfam-K' and n-substituted sulfamic acids; oximes such as perillartine; rebaudioside-A; peptides such as aspartyl malonates and succinilic acids; dipeptides; amino acid based sweeteners such as gem-diaminoalkanes, meta-aminobenzoic acid, L-aminodicarboxylic acid alkanes, and amides of certain alpha-aminodicarboxylic acids and gem-diamines; and 3-hydroxy-4-alkyloxyphenyl aliphatic carboxylates or heterocyclic aromatic carboxylates.

"Starch" as used herein refer to raw and pregelatinized starches that are derived from tubers or grains, for example, wheat starch, potato starch, tapioca starch, cornstarch, oat starch, cassava starch and other starches familiar to those skilled in the art. This term also includes hydrolyzed starches that are typically obtained by acid or enzymatic hydrolysis of starches and modified starches that are chemically treated and have unique functionality, for example starches modified by esterification and etherification reactions, acetylated starches, starch acetates, starch esters, cross-linked starch and mixtures thereof.

Unless otherwise stated, all percentages, ratios and proportions expressed herein are by weight.

Several advantages of the present invention can be summarized as follows:

- 1) Preparation of ingredients to desired states (e.g., enhanced functionality);
- 2) Reduction or elimination in the number of separate weighings;
- 3) Reduction or elimination in weighing errors and ingredient omission;
- 4) Reduction or elimination of extraneous equipment needed by the preparer (e.g. mixing bowl, measuring cups, mechanical mixers); and
- 5) Flexibility and cost reduction (e.g., formulator may use emulsions, aqueous based ingredients, oil based ingredients or dry ingredients. This significantly reduces the costs associated with drying ingredients or making ingredients water or oil soluble or dispersible for a particular formula.

In the practice of the present invention, food recipes are separated into two or more components. At least one component must be a high-water-activity aqueous component having a pH greater than 4.5. Preferably, the pre-mixes of the present invention comprise liquid components. The liquid components may be two or more high water-activity aqueous components or at least one high water-activity aqueous component and at least one low water activity liquid component. As is well known to those in the art of preparing food and beverage compositions, the precise formulations and ingredients contained in the compositions will vary depending on the precise product one seeks to make. Given the vast number of recipes and number of ingredients, the amount of water

or oil required for a recipe may be limited and therefore two liquid components may not be possible in the complete pre-mix. Moreover, it may be desirable to separate optional ingredients (e.g., nuts, candy, dehydrated food/meat pieces, coconut). In these cases, the complete pre-mix may comprise at least one high water-activity aqueous component and one or more low water-activity component. The low water-activity components may be solid or liquid or combinations of solid and liquid components, stored separately.

High-Water Activity Aqueous Components

Suitable high-water activity aqueous components (hereinafter "aqueous component") are comprised of a single ingredient (e.g., water, vinegar, liquors, wine, fruit juice, vegetable juice, milk or milk substitutes, whiteners or opacifiers) or a mixture of said ingredients with other ingredients. Aqueous components comprise most of the water in the food or beverage composition. The aqueous component may additionally comprise other water compatible ingredients

The aqueous component has a water-activity (hereinafter a_w) greater than about 0.85, preferably greater than about 0.90.

In addition to a high water-activity, at least one of the aqueous components of the present invention must have a pH of greater than about 4.5. Preferably the pH of at least one of the high water-activity, aqueous component is from about 4.5 to about 9.5, more preferably said pH is from about 4.5 to about 7.5 and most preferably from about 4.5 to about 7.0. If required, the pH of any high water-activity, aqueous component is adjusted with a pH altering ingredient such as an edible organic or inorganic base or acid, their salts, or mixtures thereof. Examples of suitable pH altering ingredients include but are not limited to lactic acid, citric acid, phosphoric acid, trisodium citrate, sodium bicarbonate, sodium hydroxide and trisodium phosphate. The desired pH in the complete mixture (i.e., after components have been combined), can also be obtained by including a pH altering ingredient as a separate component.

The amount of added base or acid present will depend on the amount of water present in the aqueous component and the effect of additional ingredients.

The aqueous component may additionally comprise low levels of an antimicrobial agent. The antimicrobial agent is present in a sufficient amount to prevent microbial growth. The amount will vary depending on the amount of water in the aqueous component, the particular antimicrobial agent used and other ingredients present in the aqueous component.

In the practice of the present invention, the aqueous component preferably comprises, if required, non-reducing sugars, gums, bases, acids, starches, salt, emulsifiers,

proteins, and all egg or milk solids present in the food or beverage composition. Reducing sugars could be included in the aqueous component only in the absence of other reactive ingredients that would cause undesirable reactions.

Low water-activity components

- 5 Suitable low water-activity components can comprise a single ingredient (nuts, oil, candy, powder, dehydrated food ingredients) or a mixture of ingredients. The low water-activity component may be either a liquid or solid. Preferably, the low water-activity component has a a_w below about 0.6, more preferably in a range of about 0.2 to about 0.5 and even more preferably in the range of about 0.3 to about 0.4. Typically, the
- 10 low water-activity component comprises oil compatible ingredients or dry ingredients required by the recipe. Ingredients suitable for use in the low a_w component, if required, include, but are not limited to, flour, oil, coffee, coffee extracts, coffee oils, tea, tea extracts, cocoa, cocoa extracts, leavening agent, gums, emulsifiers, proteins, bases, acids, starch and sugar (reducing/non-reducing).

Additional Ingredients

- 15 Additional ingredients may be included in either the aqueous component or low water-activity component as long as they can be stabilized. The additional ingredients that may be added include, but are not limited to fruits, nuts, candy, vegetable pieces, meat pieces, herbs, spices, processing additives (e.g., carbon dioxide, nitrogen),
- 20 antifoaming agents, enzymes, clarifying and flocculating agents, color control agents, malting and fermenting agents, anticaking agents, bases, buffering salts, antistick agents, colors and color modifiers, flavors and flavor potentiators, nutrients, minerals, vitamins, chelating agents and tracers (e.g., titanium dioxide).

Method of Separating Food Ingredients

- 25 In the practice of the present invention, food and beverage ingredients are grouped into those that are water-soluble, water-dispersible, oil-soluble, oil-dispersible and also acidic, alkaline, neutral and others. In the case where high levels of solids are present, the recipe can be examined to determine if the number of ingredients can be reduced, for example, self-rising flour can be substituted for flour and a leavening acid. Ingredients
- 30 may be placed in either the aqueous component or the low water-activity component. In such situations, one must take into account the amount of available water present in the recipe as a carrier. Preferably, gums and in particular egg proteins are included in the

aqueous component of the pre-mix to ensure complete hydration. Moreover, egg proteins have reduced functionality if included in a dry component that contains fat.

Next, the ingredients are grouped into those suitable for the aqueous component or components and those suitable for the low water-activity component or components taking into account the chemical and microbial stability of each group as mixtures and the solubilities and viscosities of these mixtures. One skilled in the art can test the stability of the combined ingredients in each component by routine testing (e.g., chemical assays, plate count, storage stability tests) to determine if there is significant microbial growth or significant loss in the functionality of ingredients in the time period and at the appropriate temperature that the pre-mix should be kept.

The components of the pre-mix are prepared to ensure homogeneity and to maintain uniformity during storage. An aqueous component is prepared by hydrating any gums, if present. Separately, the dry ingredients of the aqueous component are combined and mixed until homogenous. Next the gum solution is added to the dry mixture and mixed until all of the dry ingredients are dispersed. A small amount of the aqueous mixture is weighed and diluted about ten fold with distilled water. The diluted solution of the aqueous mixture is then titrated with an aqueous acid or base solution (~25%) to the desired pH. The amount of aqueous acid or base solution required to reach the desired pH is calculated and added to the aqueous component. The pH and a_w of the aqueous phase are tested by known methods. The aqueous phase thus prepared is then microbially stabilized. This can be achieved by known processes including but not limited to aseptic processing, ultra high temperature processing, ultra high pressure processing, ultrafiltration and irradiation. The sequence of processing and packaging steps to maintain sterility of the phase, can be determined by those skilled in the art based upon the specific method employed.

Any low water-activity component is prepared by mixing the dry ingredients. If oil is present, the dry ingredients are first mixed to obtain a uniform distribution, and then the oil is added. The combined dry ingredient and oil are then mixed until the particles are uniformly coated. The a_w of the low water-activity component is tested. The low water-activity component is then placed into a container or compartment and sealed.

Recipes

Many food and beverage recipes demonstrate improved shelf stability by the practice of the present invention. Preferred food compositions can be grouped into two general categories: 1) flour based compositions and 2) water based compositions.

Flour Based Compositions

Flour based compositions include, but are not limited to compositions such as doughs and batters. Depending on the relative proportions of flour and water, a mixture can be classified as a dough or batter. In doughs, the water content is low enough that the water-protein complex, gluten, constitutes the continuous phase in which the other components (e.g., starch and gas pockets) are embedded. Batters, however, contain several times as much water as do doughs and water is the continuous phase in which the proteins, starch and gas are dispersed. Generally, doughs are stiff enough to be manipulated by hand, while batters are thin enough to be poured. Examples of food compositions made from batters and doughs are cakes, muffins, pancakes, waffles, brownies, breads, donuts, pretzels, crackers and cookies. All of which are within the scope of the present invention.

The composition of batters and doughs are well known in the art. There are common ingredients in batters and doughs, specifically flour and water. In addition to flour and water, the batters or doughs may contain ingredients such as milk solids, gums, leavening agents, flavoring, eggs, shortening and sugar. The ingredients may be formulated in slightly different ways to yield various types of food products.

Flour-based compositions are preferably separated into at least one high water activity aqueous component and one low water-activity component. The aqueous high water activity component includes most of the water required in the composition, has a pH of greater than about 4.5 and may contain an anti-microbial ingredient. The aqueous component also preferably comprises the eggs, sugar, salt, gums, milk or milk substitutes, whiteners or opacifiers and water-soluble flavors present in the food composition. The gums and egg are completely hydrated. Sufficient hydration is desirable for obtaining increased functionality.

The low water-activity component comprises all the flour and any oil, leavening agent, oil-soluble flavors and emulsifiers if required.

In addition to an aqueous component and the low water-activity component, the flour based compositions may include a solid component. The solid component may contain various dry ingredients, for example, nuts, candy pieces, raisins, etc. for the preparer to add as desired.

A general range of ingredients (based on weight of the total composition) for flour based doughs and batters are as follows:

| <u>Ingredient</u> | <u>Wt.% of Total Composition</u> |
|---|----------------------------------|
| Flour | 10% - 70% |
| Total Water | 5% - 90% |
| Shortening and/or Oil including emulsifiers | 0%-70% |
| Total Protein (e.g. milk solids, egg solids or substitutes) | 0%-10% |
| Sugar | 0%-70% |
| Leavening | 0%-4% |
| Gums | 0%-1% |
| Antimicrobial | 500 ppm - 1.5% |
| Acid/Base | 0-3.5% |
| Flavors | 0% - 0.5% |

Particularly preferred flour based products are brownies, cakes and muffins.

The preferred flour based products comprise from about 20% to about 70% flour, preferably from about 25% to about 50%, more preferably 30% to about 40% flour.

5 Sugar is also included in the preferred flour-based products. The sugar may be granulated, powder, liquid or a combination thereof. The sugar, if placed in the water component, must not be a reducing sugar. As used herein "reducing sugar" refers to those sugars which are generally recognized as reducing sugars because of their reaction with Fehling's solution. Sucrose is not considered to be a reducing sugar. Reducing sugars
10 undergo non-enzymatic browning reactions much more readily than non-reducing sugars. The particular amount of sugar included in the product will depend on the sweetness intensity of the particular sweetener and the sweetness effect desired. Usually, the sugar comprises from about 12% to about 70% of the product. Preferably, the sugar comprises from about 15% to about 50%, more preferably from about 20% to about 40% of the
15 product.

Preferred flour-based products of the present invention typically comprises 0% to 70%, preferably from about 15% to about 40%, more preferably from about 20% to about 30% fat. The fat of the flour-based products can comprise a triglyceride oil, or fat which can be natural or synthetic, or a low calorie fat. Low calorie fat materials and triglyceride
20 oil or mixtures thereof, can be used. Preferably, the flour-based products have a low water-activity component comprising a liquid triglyceride oil. As used herein, the term

"triglyceride oil" refers to those triglyceride oils which are fluid or liquid above about 15°C. The triglyceride oil useful as the fat of the present invention can include mixtures of liquid oils with solid triglycerides. These triglyceride oils consist primarily of triglyceride materials, but can also include other components such as mono- and diglycerides.

The preferred flour-based products also comprise a thickener selected from the group consisting of starches, edible gums, proteins, and mixtures of these materials. Preferred thickeners for use in the flour-based products of the present invention are combinations of starch and gums. The particular amount of thickener included depends upon the particular product. The amount is also dependent on whether the thickener is a gum, starch or combination. Combinations of gum and starches as thickeners are typically present at levels of about 0.1% to about 5%.

The preferred flour-based products of the present invention also include egg solids, for example, egg or egg whites selected from the group consisting of egg substitutes, egg, liquid whole egg, stabilized dried whole eggs, frozen whole egg, sugared frozen egg, salted frozen egg, dried whole egg, frozen egg white, dried egg white, liquid egg white, frozen egg white, sugared egg white, salted egg white, or combinations of the foregoing egg ingredients. The egg or egg white usually comprises from about 0% to about 10% of the flour-based product. Preferably, the egg or egg white comprises from about 2% to about 8%, more preferably from about 4% to about 6% of the product.

The preferred flour-based products require various levels of leavening. For example, brownies require a low level of leavening while cakes require a much higher level of leavening. Typically the preferred flour-based products comprise from about 0.01% to about 3%, preferably from about 0.5% to about 2.0% of a leavening agent.

An acid and/or base can also be used in the flour-based products. These may be either liquid or dry. The pH of the aqueous, high water activity component is optimized with an organic or inorganic acid or base to a value in the range of 4.5 or above. Target pH of the complete flour based products, is obtained by including a pH altering ingredient as a separate component. Typically, an amount of pH altering ingredient, from about 0% to about 3.5%, preferably from about 0.2% to about 2.0% and more preferably 0.5% to about 1.0% of the total product composition is sufficient to achieve the target pH of the complete flour based products.

The amount of water included in the flour-based product depends on the particular consistency and texture desired in the product. Usually, water comprises from about 10% to about 70% of the product. Preferably, water comprises from about 15% to about 50%, more preferably from about 20% to about 40% of the product.

Typically, a low level of an anti-microbial agent is included in the preferred flour-based products to ensure microbial stability. The amount of anti-microbial agent added will depend on the ingredients present in the composition. Generally, a level of about 0.03% to about 4.00%, preferably about 0.1% to about 3.00% is sufficient.

5 **Water based compositions**

Water based compositions are compositions comprising large quantities of water. The compositions are typically fluid or are thickened or gelled using starch, flour, gums or proteins. The ingredients may be formulated in slightly different ways to yield various types of food products. Preferred examples of water based compositions include sauces,
10 gravies, dips, soups, dressings and imitations thereof, condiments, beverages. The compositions may be low-calorie and fat-free. Emulsified or nonemulsified compositions are included in the preferred water based compositions as well.

Examples of preferred emulsified products include dressings, sauces and dips. Emulsified oil dressings typically refer to three generally recognized types of dressings:
15 sandwich dressing, salad dressing, and imitations thereof. Sandwich dressing is an emulsified semi-solid food prepared from vegetable oil(s), one or more acidifying ingredients, one or more egg yolk-containing ingredients or emulsifiers, as well as other optional ingredients such as vinegar, liquor, whiskeys, salt, sweeteners, herbs, spices, monosodium glutamate, sequestrants, etc. Sandwich dressings, by definition, contain, not
20 less than 65% by weight vegetable oil, although most commercial mayonnaise products contain approximately 80% by weight vegetable oil. Examples of sandwich dressings are mayonnaise and tartar sauce.

A lower calorie version of emulsified oil dressing products are salad dressings. Salad dressings are often similar to sandwich dressings in consistency and texture (e.g.,
25 spreadable or pourable) and contain not less than 30% by weight vegetable oil. Most commercial salad dressing products contain approximately 45% by weight vegetable oil, or about one-half that of most commercial sandwich dressing products.

Imitation dressings differ from regular and low-calorie dressings and sauces in the fact that they contain a thickening agent which is typically a starch, gum or fiber (e.g.,
30 cellulose). The thickening agent provides the consistency and texture that would be missing as the result of the lower level or absence of vegetable oil. Because of this reduction or absence of vegetable oil, imitation dressings contain significantly less calories. Imitation dressings include both pourable and spreadable products.

Beverages include but are not limited to juice drinks, milk based products, coffee
35 and tea containing products.

The basic ingredients in water based compositions are water, optionally fat, and one or more thickening agent. As used herein "thickening agent" refers to ingredients from the group consisting of proteins, gums, starch, flour, fiber and mixtures thereof. Additional ingredients commonly added for their contribution to flavor include sugar, oil, salt, spices and natural and/or artificial flavors, tea, coffee extracts and solids, milk solids and juice solids.

Water based compositions comprise at least one aqueous component, having a water activity greater than about 0.85 and a pH greater than about 4.5. Preferably, water based compositions comprise at least one aqueous component having a water activity greater than about 0.85 and a pH greater than about 4.5 and at least one liquid, low water-activity component or at least two aqueous components wherein at least one of the aqueous components has a water activity of greater than about 0.85 and a pH greater than about 4.5. Optionally, a dry component may also be included in with either combination.

In water based compositions the aqueous component or components comprise most or all of the water in the food composition and any antimicrobial agent, eggs, milk, proteins, gums, sugar, and extracts or purees of fruits and vegetables that are required in the complete premix. The water component may additionally contain any water soluble flavors.

Low water-activity components, if present, comprise coffee solids, tea solids, juice solids, vegetable solids, starch, salt, vitamins, acids and or acidifying salts (if required) and all the fat or oil (if required). The low water-activity component preferably comprise oil soluble flavors, emulsifiers, and other oil-compatible ingredients.

A general range of ingredients (based on weight of the total composition) for water based compositions are as follows:

| | <u>Beverage</u> | <u>Pourable Dressing / Emulsified Dressing</u> | <u>Soup, sauce, or gravy</u> |
|---------------------------------------|-----------------|--|----------------------------------|
| Water | 20% - 90% | 25% - 98% | 50% - 70% |
| Oil/fat | 0% - 50% | 0% - 60% | 0.6% - 25% |
| Thickening Agent | 0% - 3% | 0.25%-50% | 0% - 6% |
| Emulsifier | 0.2% - 4% | 0 - 4% | 1% - 3% |
| Protein Source | 0%-25% | 0%-15% | 0% - 5% |
| Vinegar | 0% - 2% | 0% - 12% | 0% - 2% |
| Flavor | 0% - 2% | 0% - 2% | 0% - 3% |
| Sugar | 0% - 20% | 0% - 30% | 0%-15% |
| Acid | 0.2% - 2.5% | 0.1% - 3.5% | 0.1% - 4% |
| Milk solids | 0 - 15 % | 0 - 5% | 0 - 5% |
| Tea/Coffee solids | 0 - 5% | 0% | 0% |
| Fortificants (vitamins & minerals) | 0 - 100% RDA | 0% | 0% |
| Juice/vegetable solids | 0 - 50% | 0 - 10% | 0 - 10% |

Preferred emulsified dressing products of the present invention can comprise from about 30% to about 80% fat. The fat of these emulsified oil dressing products comprises a triglyceride oil, or fat which can be natural or synthetic, or a low calorie fat. Low-calorie fat materials and triglyceride oil can be used together to provide reduced calorie benefits, as well as desirable consistency and texture in the product. When a lower calorie product is desired from about 50% to about 90% low calorie fat can be used with the triglyceride oil, preferably from about 60% to about 70% low calorie fat and from about 30% to about 40% triglyceride oil are used. The triglyceride oil useful as the fat of the present invention can include mixtures of liquid oils with solid triglycerides. These triglyceride oils consist primarily of triglyceride materials, but can also include residual levels of other components such as mono- and diglycerides. To remain fluid or liquid at temperatures below 15°C., the triglyceride oil contains a minimum amount of glycerides having melting points higher than about 15°C. so as to limit the solids increase when the triglyceride oil is cooled. It is desirable that the triglyceride oil be chemically stable and resistant to oxidation.

The preferred dressings also comprise a thickener selected from the group consisting of starches, flours, edible gums, proteins, and mixtures of these materials. Preferred thickeners for use in the dressings of the present invention are pregelatinized starch and modified starch, and especially combinations of pregelatinized starch and

modified food starch. The particular amount of thickener included in the dressing product is highly dependent upon whether it is a gum or starch. In the case of starches, the thickener comprises from about 2% to about 5% of the product. Preferably, starch comprises from about 2% to about 3% of the product. Edible gum thickeners are typically present at lower levels.

5 The emulsified dressing products of the present invention may also include egg solids, for example, egg or egg whites selected from the group consisting of egg substitutes, egg, liquid whole egg, stabilized dried whole eggs, frozen whole egg, sugared frozen egg, salted frozen egg, dried whole egg, frozen egg white, dried egg white, liquid egg white, frozen egg white, sugared egg white, salted egg white, or combinations of the foregoing egg ingredients. The egg solid usually comprises from about 5% to about 15% of the emulsified dressing product. Preferably, the egg yolk comprises from about 11% to about 13% of the product.

10 The amount of water included in the dressing depends on the particular consistency and texture desired in the product. Usually, water comprises from about 25% to about 75% of the product. Preferably, water comprises from about 27% to about 33% of the product.

15 An acid and an acidifying solid is also preferred in the dressing products. Preferred acidifying solids include any dried vinegar such as cider vinegar and white vinegar, dried lemon juice and/or lime juice. Dried vinegars can be from natural vinegars made by fermentation of juices to form acetic acid as for example, apple cider vinegar and red wine vinegar. Preferred acids include citric and/or malic acid. Mixtures of these acidifying ingredients are preferred. Dried lemon juice, lime juice, citric acid and malic acid are typically used in combination with dried vinegar as the acidifying component.

20 Usually, the combination of acid and acidifying solid comprises from about 3% to about 12% of the dressing product. Preferably, the combination of acid and acidifying solid comprises from about 3% to about 5% of the product. Combined, the acid and acidifying solid must be present in sufficient amounts to lower the pH of the finished dressing to a pH of less than about 4.5; preferably the pH is lowered to a value within the range of from about 4.3 to about 3.2.

25 Preferred emulsified dressing can comprise other optional, but desirable, ingredients. One of these ingredients is a nutritive carbohydrate sweetener or sweeteners. Usually, the nutritive sweetener comprises from about 0.5 to about 5.0% of the product. Preferably, the nutritive sweetener comprises from about 0.5% to about 2.5% of the product.

30

35

If an extra calorie reduction benefit is desired, non-caloric or reduced calorie sweeteners can be used wholly or partially in place of the nutritive carbohydrate sweetener. The particular amount of non-caloric or reduced sweetener included in the dressing will depend on the sweetness intensity of the particular sweetener and the sweetness effect desired. Generally from 0.1% to 1.0% is used of an non caloric or reduced calorie sweetener.

Another desirable optional ingredient present in the dressing is a sequestrant(s). Suitable sequestrants include, but are not limited to, calcium disodium ethylene diamine tetraacetate (EDTA), and disodium EDTA, ascorbic acid, sodium hexameta phosphate. These sequestrants are typically included in the dressing to preserve the color and/or flavor of the dressing product. These sequestrants are typically included in an amount of from about 0.004% to about 0.200%, preferably 0.01% to about 0.10% of the product.

Other ingredients typically included in the dressing are salt, preservatives and spices/flavorants. Preferred spices and flavorants include mustard powder, onion powder, garlic powder, oleoresin paprika, sage, and egg flavors. The salt, preservatives and spices/flavorants are included in amounts to provide the saltiness, preservative properties and flavor/aroma properties desired. If desired, bulking agents such as maltodextrins or polydextrose can be included in appropriate amounts in the dressing.

Package

The high water activity aqueous components and the low water-activity components of the present invention are packaged in separate containers or a multi-compartment package. Preferably the components are stored in multi-compartment flexible packages having rupturable seals, for example, those disclosed in U.S. Patent No. 3,074,544 and U.S. Patent No. 5,287,961. When the rupturable seals of these packages are ruptured, the components in the separated compartments are allowed to mix together, thus, minimizing the variability caused by using different mixing equipment. Particularly preferred multi-compartment packages include two or more separated compartments. The compartments are substantially flexible and are hermetically sealed. One or more rupturable seals are included on the compartments. The rupturing of the seals allows communication from one compartment to another compartment in order to form a uncooked mix or a food or beverage product. The most preferred packages are disclosed in co-pending applications U.S. Patent Application Serial No. 08/865798, filed May 30, 1997 by P.R. Bunke et al., titled FLEXIBLE COMPARTMENTED PACKAGE THAT STANDS UPRIGHT HAVING SIDE WALL AND RUPTURABLE SEAL and U.S. Patent Application Serial No. 08/865899, filed May 30, 1997 by P.R. Bunke et al.

FLEXIBLE COMPARTMENTED PACKAGE THAT STANDS UPRIGHT HAVING RUPTURABLE SEAL AND CONNECTING STRAP.

Preparation of Uncooked Mixes and Food or Beverage Products

5 To prepare the uncooked mix or food or beverage product the preparer combines the contents of each compartment or container. If desired, the preparer may combine portions of each container, so long as the ratio of aqueous component to low water component is maintained. However, this introduces the possibility of measuring errors.

10 In a preferred multi-compartment package, the preparer ruptures the rupturable seal by hand manipulation of the more liquid side of the compartment. If two liquids are present, either side may be manipulated. Preferably, such manipulation is in the form of squeezing, twisting or pressing on the compartment to create sufficient pressure to burst, sever, separate, rupture, or open the rupturable seal. Opening of the rupturable seal allows the components contained in the separated compartments to be placed in communication with each other for intermixing. The intermixing can be performed by
15 squeezing or kneading the compartments from side to side until the compartments are uniformly mixed. In multi-compartment packages that contain additional food pieces, for example, fruit pieces, candy, nuts or meat pieces, it may be desirable to mix the components in the other compartments before the addition of the food pieces.

20 The following examples illustrate food compositions embodying the principles of the invention with the various ingredients separated into either an aqueous component or a low a_w component.

It will be appreciated that minor modifications of the present invention, within the skill of those in the art, can be undertaken without departing from the scope of the invention. In particular, stabilizing compositions by separating the recipes into 2 or more
25 components comprising at least one high water aqueous component.

Example 1**Muffins****Aqueous Component**

| <u>Ingredient</u> | <u>% Aqueous Total</u> |
|--------------------------|-------------------------------|
| Sugar | 21.40 |
| Salt | 0.60 |
| Dried whole egg white | 2.00 |
| Xanthan | 0.02 |
| Potassium-sorbate | 0.07 |
| Water | 34.48 |
| Total | 58.57 |

5

Low water-activity component

| <u>Ingredient</u> | <u>% Total</u> |
|--------------------------------|-----------------------|
| Flour | 31.1 |
| Shortening/emulsifier | 7.51 |
| Soda | 0.88 |
| SALP(sodium aluminum phosphate | 0.62 |
| Dextrose | 0.62 |
| Dried blueberries | 0.36 |
| Citric acid / mL | 0.25 |
| Total | 41.35 |

10 The aqueous phase is prepared as follows; the gums are hydrated by dispersing under high shear using a portion of the water warmed to a temperature of about ~110°F. In a separate bowl, the sugar, salt and dried whole egg are mixed using an electric mixer for about 5 minutes, until homogenous. The gum solution is then add to the dry ingredients. The combined ingredient are mixed until all of the dry ingredients are well dispersed. The resulting aqueous component has a pH greater than about 4.5 and a water

activity of about 0.9. The aqueous component is then aseptically packaged in a suitable container, after subjecting to an ultra high pressure process to ensure microbial stability.

The low water-activity component is prepared by mixing the flour with the shortening and oil. Once the homogenous mixture is obtained, soda, dextrose citric acid and flavors are added. The combined ingredients are mixed for about 30-45 minutes at low speed to ensure homogeneity. The blueberries are then added. The resulting low water-activity component has a water activity of about 0.4. The low water-activity component is then packaged in a suitable container

10

Example 2Nutritious orange drinkAqueous component

| | <u>Ingredient</u> | <u>% Total</u> |
|----|--------------------------|----------------|
| 15 | Water | 87.71 |
| | Sugar | 10.25 |
| | Sodium citrate | 0.05 |
| | Potassium sorbate | 0.03 |
| | Sodium hexametaphosphate | 0.13 |
| 20 | Total | 98.17 |

Low water-activity component

| | <u>Ingredient</u> | <u>% Total</u> |
|----|----------------------------|----------------|
| | Starch hydrolysate | 1.0 |
| | Citric acid | 0.32 |
| 25 | Cloud oil | 0.04 |
| | Colors (FD&C Yellow 5 & 6) | 0.30 |
| | Flavor | 0.11 |
| | Ascorbic acid | 0.01 |
| | 1% β carotene | <u>0.05</u> |
| 30 | Total | 1.83 |

The aqueous component of the nutritious orange drink is prepared by dissolving in sequence the sugar, sodium citrate, potassium sorbate and sodium hexametaphosphate in the water. The resulting aqueous component has a water activity of about 0.98 and a pH

of greater than about 4.5. The aqueous component is filter sterilized and packaged in an appropriate sterile container and stored at ambient temperature.

The low water-activity component is prepared by mixing into the starch hydrolysate the cloud oil, colors, flavors citric acid, ascorbic acid and β carotene until well dispersed.

- 5 The resulting low water-activity component has a water activity of about 0.4. The low water-activity component is packaged in an appropriate container and stored at ambient temperature.

Example 3

Creamy coffee product

- 10 Aqueous component:

| | |
|--------------|---------------|
| Milk | 50% |
| Water | 41.49% |
| Carrageenan | <u>0.01%</u> |
| Total | 91.50% |

Low water activity component

| | |
|----------------|-------------|
| Instant coffee | 1.0% |
| Cocoa | 1.5% |
| Sugar | 5.0% |
| Flavors | 1.0% |
| Total | 8.5% |

- 15 The aqueous phase is made by first hydrating the carrageenan. This is done by warming the water to about 140 F and slowly adding the carrageenan to it with high shear mixing. To this solution the milk is added to complete the aqueous phase. This phase is aseptically processed and packaged to ensure microbial stability, and stored at room temperature.

- 20 The low water activity phase is made by mixing together the instant coffee, cocoa, sugar and flavors to ensure homogeneity. This phase is packaged and stored at room temperature.

- Any of the products made in any of the above Examples can be packaged in a multi-compartment packaging unit having one or more rupturable seal(s) between said compartments. The seal will remain intact during shipping and storage and will be ruptured by the preparer, when desired, preferably just prior to use. Once the seal is
- 5 ruptured by the preparer, the preparer will, according to packaging instructions, intermix the contents, and perform any further steps to finish the product, as necessary and appropriate.

What is claimed is:

1. A shelf-stable pre-mix comprising two or more components characterized in that at least one component is an aqueous component having a water-activity greater than 0.85; and a pH greater than about 4.5, preferably said pH is from 4.5 to 9.5 and more preferably said pH is from 4.5 to 7.5 and most preferably said pH is from 4.5 to 7.0; and wherein the components are stored separately, but are combinable; and wherein are included all ingredients necessary to form a product selected from the group consisting of ready-to-cook mixes or food products, preferably selected from the group consisting of ready-to-cook doughs, ready-to-cook batters or food products, most preferably selected from the group consisting of cakes, brownies, pancakes, waffles, donuts, pretzels, crackers, cookies, muffins, sauces, gravies, dressings or soups, and beverage products.
2. A pre-mix according to Claim 1 wherein at least one component is a low water-activity component and wherein the low water-activity component has a water-activity of less than 0.6, preferably less than 0.5.
3. A pre-mix according to Claim 2, wherein the low water-activity component is a liquid.
4. A pre-mix according to Claim 2, wherein the low water-activity component is a solid.
5. A pre-mix according to any one of the preceding claims wherein the low water-activity component comprises fat.
6. A pre-mix according to any one of the preceding claims wherein the aqueous component comprises water, a non-reducing sugar, protein and wherein the low water-activity component comprises oil, flour, a leavening agent and edible acid; preferably selected from lactic acids, phosphoric acid, citric acid, glucono-delta lactone, and mixtures thereof.
7. A pre-mix according to any one of the preceding claims wherein the aqueous component comprises; from 12% to 70% water; from 15% to 40% non-reducing sugar;

and from 1% to 4% protein; and wherein the low water-activity component comprises, from 20% to 40% flour; from 15% to 40% fat; from 0.1% to 2% acid; and from 0.01% to 2% leavening agent.

8. A pre-mix according to Claims 1 - 6 wherein the aqueous component comprises, from 25% to 75% water, from 5% to 15% protein, and from 2% to 5% thickener; and wherein the low water-activity component comprises, from 30% to 80% fat and from 0% to 5% of an acidifying liquid.

9. A pre-mix according to any one of the preceding claims wherein the aqueous component further comprises an anti-microbial agent.

10. A pre-mix according to any one of the preceding claims wherein the aqueous component is contained in a compartment of a multi-compartment packaging unit having at least one rupturable seal that separates the components which, upon rupturing allows intermixing of the components.

INTERNATIONAL SEARCH REPORT

Interns a) Application No

PCT/US 99/23691

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A21D10/00 A23P1/00 A23L1/24 A23L1/39 A23L2/38
B65D81/32

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A21D A23P A23L B65D A23G

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

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☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

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A document defining the general state of the art which is not considered to be of particular relevance

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T later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

X document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

Y document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

Z document member of the same patent family

Date of the actual completion of the international search

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INTERNATIONAL SEARCH REPORT

International Application No
PCT/US 99/23691

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

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